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REQUEST FOR CATEGORICAL EXCLUSION

PROJECT/ACTIVITY DATA

| | |
|---------------------------------------------------------|------------------------------------------------------|
| Project/Activity Name: | Gender Integration Technical Assistance II (GITA II) |
| Geographic Location(s) (Country/Region): | Global |
| Amendment (Yes/No), if Yes indicate # (1, 2...): | No – new award |
| Implementation Start/End Dates (FY or M/D/Y): | June 2019-September 2021 |
| If Amended, specify New End Date: | |
| Solicitation/Contract/Award Number: | |
| Implementing Partner(s): | |
| Bureau Tracking ID: | E3-19-12 |
| Tracking ID of Related RCE/IEE (if any): | |
| Tracking ID of Other, Related Analyses: | |

ORGANIZATIONAL/ADMINISTRATIVE DATA

| | |
|------------------------------------------------------------------------------|--------------|
| Implementing Operating Unit(s): (e.g. Mission or Bureau or Office) | E3/GenDev |
| Other Affected Operating Unit(s): | |
| Lead BEO Bureau: | |
| Funding Operating Unit(s): (e.g. Mission or Bureau or Office) | E3/GenDev |
| Funding Account(s) (if available): | |
| Original Funding Amount: | |
| If Amended, specify funding amount: | |
| If Amended, specify new funding total: | |
| Prepared by: | Sylvia Cabus |
| Date Prepared: | 3/11/2018 |

ENVIRONMENTAL COMPLIANCE REVIEW DATA

| | |
|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|
| Analysis Type: | <input checked="" type="checkbox"/> Request for Categorical Exclusion <input type="checkbox"/> Deferral |
| Environmental Determination(s): | <input checked="" type="checkbox"/> Categorical Exclusion <input type="checkbox"/> Deferred (per 22CFR216.3(a)(7)(iv)) |
| Categorical Exclusion Expiration Date (if different from implementation end date): | |
| Additional Analyses/Reporting Required: | |
| Climate Risks Identified (#): | Low <u> 1 </u> Moderate <u> </u> High <u> </u> |
| Climate Risks Addressed (#): | Low <u> 1 </u> Moderate <u> </u> High <u> </u> |

THRESHOLD DETERMINATION AND SUMMARY OF FINDINGS

PROJECT/ACTIVITY SUMMARY

Gender Integration Short-term Technical Assistance II (GITA II) Task Order allows operating units (OUs) to easily access technical services to advance gender equality and women's empowerment worldwide. GITA provides on-demand, rapid response short-term technical assistance (STTA) to USAID missions and bureaus across sectors. Under the direction of E3/GenDev, GITA applies gender experience to the process of designing development strategies, projects and activities to enhance gender equality and women's empowerment.

ENVIRONMENTAL DETERMINATIONS

TABLE 1: ENVIRONMENTAL DETERMINATIONS

| <i>Project/Activity</i> | <i>Categorical Exclusion §216.2(c)(2)</i> |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <i>Project/Activity</i> 1. Gender analyses for preparation of CDCS and PADs and assistance in incorporating findings into results frameworks and texts | (iii) Analyses, studies, academic or research workshops and meetings |
| <i>Project/Activity</i> 2. Sector-, project-, and activity-specific gender analyses and research (value chains, education, etc.) | (iii) Analyses, studies, academic or research workshops and meetings |
| <i>Project/Activity</i> 3. Desk studies and research | (iii) Analyses, studies, academic or research workshops and meetings |
| <i>Project/Activity</i> 4. Gender integration in on-going implementation | (i) Education, technical assistance, or training programs except to the extent such programs include activities directly affecting the environment (such as construction of facilities, etc.); |
| <i>Project/Activity</i> 5. Monitoring, Evaluation, and Learning (developing gender-sensitive indicators, scopes of work, engendering results frameworks, etc.) | (i) Education, technical assistance, or training programs except to the extent such programs include activities directly affecting the environment (such as construction of facilities, etc.); |
| <i>Project/Activity</i> 6. Technical assistance and improving the capacity of missions and other operating units to incorporate gender- and inclusive development-related issues (disability, LGBTI, indigenous populations etc.) and facilitate staff discussions and/or workshops | (i) Education, technical assistance, or training programs except to the extent such programs include activities directly affecting the environment (such as construction of facilities, etc.); |
| <i>Project/Activity</i> 7. Gendered studies of on-going issues (immigration, etc.) | (iii) Analyses, studies, academic or research workshops and meetings |

CLIMATE RISK MANAGEMENT

The climate risk assessment performed for the PAD looked at risks to DO2/1R1: "Customized competencies of targeted Agency staff strengthened." That assessment provided adequate assessment of the risks to this activity and was updated slightly in Table 4 below based on guidance from E3's Global Climate Change team. If trainees are not able to identify gender gaps that could increase with climate shocks and stressors, and design interventions that are effective when climate risks might impact them, their gender work may not be effective. In addition, instructors' and participants' ability to travel to and hold trainings can be impacted by climate in certain locations (floods, etc.).

BEO SPECIFIED CONDITIONS OF APPROVAL

These activities will not directly or indirectly impact the environment, nor will construction, use of pesticides, or activities in critical habitats, or that impact threatened and endangered species occur.

IMPLEMENTATION

In accordance with 22CFR216 and Agency policy, the conditions and requirements of this document become mandatory upon approval. This includes the relevant limitations, conditions and requirements in this document as stated in Section 3 of this RCE and any BEO Specified Conditions of Approval.

USAID APPROVAL OF REQUEST FOR CATEGORICAL EXCLUSION

PROJECT/ACTIVITY NAME: Gender Integration Technical Assistance II

Bureau Tracking ID: E3-19-12

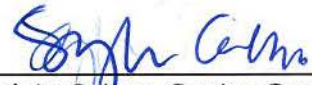
Approval:


Diana Prieto, Acting Office Director, E3/GenDev

4/10/19

Date

Clearances:


Sylvia Cabus, Senior Gender Advisor (COR), E3/GenDev

4/10/2019

Date


Teresa Bernhard, E3 Bureau Environmental Officer

4/11/19

Date

1.0 PROJECT AND ACTIVITY DESCRIPTION

1.1 PURPOSE AND SCOPE OF RCE

The purpose of this document is to establish that all proposed projects/activities belong to classes of actions eligible for Categorical Exclusions as set out in Agency regulations (22CFR 216.2(c)) and that there are no foreseeable significant direct or indirect impacts that would preclude them from receiving a Categorical Exclusion. Upon approval of this document, the Categorical Exclusions are affirmed for the project/activity. This analysis also documents the results of the project/activity level Climate Risk Management process in accordance with USAID policy (specifically, ADS 201 mandatory reference 201mal). This RCE is a critical element of a mandatory environmental review and compliance process meant to achieve environmentally sound activity design and implementation.

1.2 PROJECT OVERVIEW

The United States Agency for International Development (USAID) Bureau for Economic Growth, Education, and Environment (E3) Office of Gender Equality Women's Empowerment (GEWE) seeks to award a two-year cost-plus-fixed-fee task order under the Advancing the Agenda of Gender Equality (ADVANTAGE) Indefinite Delivery Indefinite Quantity (IDIQ) contract to provide on-demand, rapid-response, short-term technical assistance (STTA) in gender integration to USAID Missions and Bureaus across sectors. This Task Order will assist operating units in implementing the Gender Equality and Female Empowerment policy as operationalized through ADS 205, and corresponds to GEWE's priority in providing technical assistance on gender integration to USAID operating units.

1.3 PROJECT DESCRIPTION

The Task Order's short-term technical assistance with a rapid turnaround to requests will help operating units fill the gaps in staff capacity as well as complement GEWE's current efforts to respond to mission and operating unit requests. The contractor will provide strategies for incorporating and addressing specific gender gaps or inequalities, including preventing and responding to gender-based violence, in all activities and to provide sector-specific guidance for integration of gender issues into development activities, focused on strategic and project/activity planning, performance measurement, data collection and analysis tools, and monitoring and evaluation.

The following is a list of illustrative activities under this Task Order. Activities will be discussed with the Contracting Officer's Representatives (COR) and the requesting operating unit.

- Gender analyses for preparation of Country Development Cooperation Strategy (CDCS) and Project Appraisal Documents (PADs) and assistance in incorporating findings into results frameworks and narratives texts
- Sector-, project-, and activity-specific gender analyses and research (value chains, education, etc.)
- Desk studies
- Monitoring and Learning plans for strategy and project activity (developing gender-sensitive indicators, scopes of work, engendering results frameworks, etc.). Note: full evaluations should be undertaken under a separate task order.
- Technical assistance and improving the capacity of missions and other operating units to incorporate gender- and inclusive development-related issues (disability, LGBTI, indigenous populations etc.) and facilitate staff discussions and/or workshops
- White papers or backgrounders on emerging, time-sensitive issues (sudden-onset refugee crisis, resilience, fragile states, pandemics)

The contractor shall provide rapid-response, short-term technical assistance to USAID operating units, which may include E3/GEWE, other E3 offices, USAID/W regional and pillar bureaus, and Missions.

TABLE 2: DEFINED OR ILLUSTRATIVE PROJECTS/ACTIVITIES AND SUB-ACTIVITIES

| <i>Project/Activity</i> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <i>Project/Activity</i> 1. Gender analyses for preparation of CDCS and PADs and assistance in incorporating findings into results frameworks and texts |
| <i>Project/Activity</i> 2. Sector-, project-, and activity-specific gender analyses and research (value chains, education, etc.) |
| <i>Project/Activity</i> 3. Desk studies and research |
| <i>Project/Activity</i> 4. Gender integration in on-going implementation |
| <i>Project/Activity</i> 5. Monitoring, Evaluation, and Learning (developing gender-sensitive indicators, scopes of work, engendering results frameworks, etc.) |
| <i>Project/Activity</i> 6. Technical assistance and improving the capacity of missions and other operating units to incorporate gender- and inclusive development-related issues (disability, LGBTI, indigenous populations etc.) and facilitate staff discussions and/or workshops |
| <i>Project/Activity</i> 7. Gendered studies of on-going issues (immigration, etc.) |

2.0 ENVIRONMENTAL ANALYSIS

2.1 JUSTIFICATION FOR CATEGORICAL EXCLUSION

The activities under the Gender Integration Technical Assistance II are among the classes of actions listed in 22CFR216.2(c)(2) and have no foreseeable significant direct or indirect adverse effect on the environment. Therefore, under 22CFR216.2(c)(1), neither an IEE nor an EA will be required for these activities. Instead, a Categorical Exclusion is recommended for the projects/activities described above in Section 1.3 as follows:

| <i>Project/Activity</i> | <i>Categorical Exclusion §216.2(c)(2)</i> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <i>Project/Activity</i> 1. Gender analyses for preparation of CDCS and PADs and assistance in incorporating findings into results frameworks and texts | (iii) Analyses, studies, academic or research workshops and meetings |
| <i>Project/Activity</i> 2. Sector-, project-, and activity-specific gender analyses and research (value chains, education, etc.) | (iii) Analyses, studies, academic or research workshops and meetings |
| <i>Project/Activity</i> 3. Desk studies and research | (iii) Analyses, studies, academic or research workshops and meetings |
| <i>Project/Activity</i> 4. Gender integration in on-going implementation | (i) Education, technical assistance, or training programs except to the extent such programs include activities directly affecting the environment (such as construction of facilities, etc.); |

| | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Project/Activity 5. Monitoring, Evaluation, and Learning (developing gender-sensitive indicators, scopes of work, engendering results frameworks, etc.) | (i) Education, technical assistance, or training programs except to the extent such programs include activities directly affecting the environment (such as construction of facilities, etc.); |
| Project/Activity 6. Technical assistance and improving the capacity of missions and other operating units to incorporate gender- and inclusive development-related issues (disability, LGBTI, indigenous populations etc.) and facilitate staff discussions and/or workshops | (i) Education, technical assistance, or training programs except to the extent such programs include activities directly affecting the environment (such as construction of facilities, etc.); |
| Project/Activity 7. Gendered studies of on-going issues (immigration, etc.) | (iii) Analyses, studies, academic or research workshops and meetings |

2.2 CLIMATE RISK MANAGEMENT

For this activity, the CRM from the GenDev PAD (approved in 2017) was updated with additional detail. The activity is expected to be low-risk over all.

TABLE 4. PROJECT CLIMATE RISK MANAGEMENT SUMMARY TABLE

| Tasks/ Defined or Illustrative Interventions | Climate Risks List key risks related to the defined/illustrative interventions identified in the screening and additional assessment. | Risk Rating Low/ Moderate/ High | How Risks are Addressed Describe how risks have been addressed in activity design and/or additional steps that will be taken in implementation. If you chose to accept the risk, briefly explain why. | Opportunities to Strengthen Climate Resilience Describe opportunities to achieve multiple development objectives by integrating climate resilience or mitigation measures |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| DO2/IR 2: Gender within activity design, solicitations, and monitoring and evaluation products better integrated into programming | Information in How To Notes could be less effective if it does not consider climate risks Deploying experts from the roster could be slowed during climate crises Gender integration, gender equality and women's empowerment goals are overshadowed by climate crises and concerns in project | low low moderate | This will vary greatly among different staff integrating gender throughout the program cycle. Integrating gender and climate concerns into the Project Cycle will strengthen all projects that consider these issues | The contractor is required to recruit consultants with a basic knowledge of climate change issues. |

| | | | | |
|--|----------------------------|--|--|--|
| | design and implementation. | | | |
|--|----------------------------|--|--|--|

3.0 LIMITATIONS OF THE CATEGORICAL EXCLUSION

The categorical exclusions recommended in this document apply only to projects/activities and sub-activities described herein.

Other projects/activities that may arise must be subject to an environmental analysis and the appropriate documentation prepared and approved, whether it be a new Request for Categorical Exclusion, an amendment, or other type of 22CFR216 document.

It is confirmed that the projects/activities described herein do not involve actions normally having a significant effect on the environment, including those described in 22CFR216.2(d).

[Bureaus may add customized limitations.]

3.1 MANDATORY INCLUSION OF ENVIRONMENTAL COMPLIANCE REQUIREMENTS IN SOLICITATIONS, AWARDS, BUDGETS, AND WORK PLANS

USAID will ensure the environmental compliance requirements are incorporated into solicitations, awards, budgets, and work plans, including relevant limitations of Section 3 above. In addition, climate risk management requirements will also be incorporated.

[Guidance is available for environmental compliance requirements and for climate risk management here: <http://www.usaidgems.org/index.htm>.]

3.2 GENERAL IMPLEMENTATION & MONITORING REQUIREMENTS (IF APPLICABLE)

USAID will ensure that the following requirements are met:

[The standard requirements listed in this section are provided as examples and can be customized. Other standard requirements may be added. Please refer to Bureau- or Mission-specific guidance.]

- Provide briefings for Implementing Partner (IP) on environmental compliance responsibilities
- Ensure integration of compliance responsibilities in prime and sub-awards and grant agreements;
- Ensure compliance with applicable partner country requirements
- Annual review of project activities to ensure that scope is still covered by Categorical Exclusion

ATTACHMENTS

None



Sylvia Cabus <scabus@usaid.gov>

Gender Integration Technical Assistance II - CRM

Rebecca Chacko <rchacko@usaid.gov>

Mon, Mar 18, 2019 at 1:05 PM

To: Sylvia Cabus <scabus@usaid.gov>

Cc: Shannon McCafferty <smccafferty@banyanglobal.com>, Corinne Hart <cohart@usaid.gov>, Jude Leitten <jleitten@usaid.gov>

Thanks, Sylvia. I clear.

Becky Chacko | Senior Climate Change Integration Specialist

USAID / E3 / Climate Change

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Find climate risk management resources on [USAID's intranet](#) and on [Climatelinks](#)

On Thu, Mar 14, 2019 at 6:34 AM Sylvia Cabus <scabus@usaid.gov> wrote:

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